



CINCAP GmbH  
Switzerland



IFIP GmbH  
Switzerland

# *F-CAD, Six Sigma Optimization and Cost Savings*

Vision and statements for a computer-aided approach  
to achieve Six Sigma performance  
in solid dosage form design  
and to reduce costs

**Dr. Maxim Puchkov**  
**Prof. Dr. Hans Leuenberger**



CINCAP GmbH  
Switzerland



IFIP GmbH  
Switzerland

## About CINCAP

- » The Center for Innovations in Computer-Aided Pharmaceutics, CINCAP GmbH is a start-up enterprise mainly focusing on the novel, science-based software products to assist in design, development and production of modern pharmaceutical products.
  - » CINCAP main activities include:
    - Development of the computer-aided formulation design software and technologies, along with scientific research in pharmaceutical process technology, process optimization and modeling. The corresponding software product of CINCAP is **F-CAD**.
    - Research and development of reliable process simulators of existing pharmaceutical machinery for different unit operations. This concept and technology is also known as **Virtual Equipment Simulators (VES)**.
    - Additional services rendered at CINCAP include design and development of computationally intensive software for process simulation; pharmaceutical, medical, and biological fields of science and technology.
  - » CINCAP GmbH is incorporated in Switzerland (BL) as Limited Liability Company.
  - » Founders: Prof. Hans Leuenberger, Dr. Maxim Puchkov
-



CINCAP GmbH  
Switzerland



IFIP GmbH  
Switzerland

# CINCAP QbD Orientation

## Formulation R&D

- F-CAD
  - *In-Silico* formulation development
  - Risk assessment and mitigation
  - Cost reduction

**F-CAD  
Robust  
Formulation!**

## Production

- Virtual Equipment Simulation (VES)
- Continuous Education + Personalized Training
- Minimum human error

**VES  
Operator  
Training**

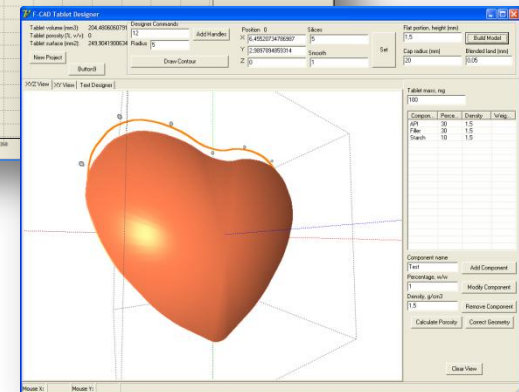
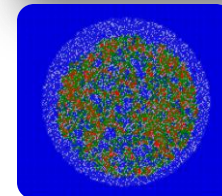
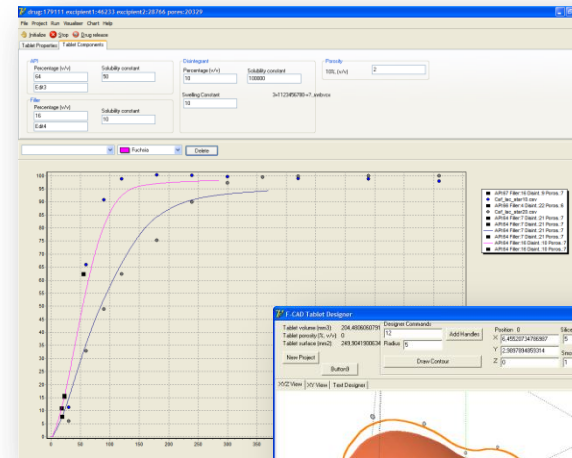


CINCAP GmbH  
Switzerland



IFIP GmbH  
Switzerland

# VES and F-CAD Screenshots





CINCAP GmbH  
Switzerland



IFIP GmbH  
Switzerland

## *PAT ( Process Analytical Technology ) Initiative and Quality by Design ( QbD) – Can we afford it ?*

- » Is it possible to reduce time to market and to enhance product quality?
- » The Sigma Concept
- » Goal: Six Sigma Performance



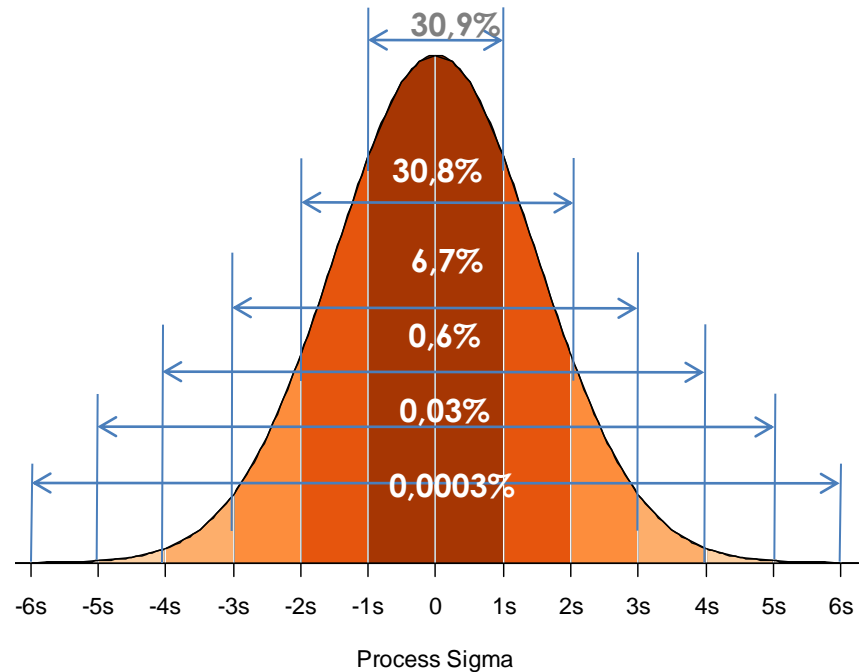
# Performance of a process → Sigma value

Sigma	Yield, %	Defects, %	DPMO
1	30,9	69,1	690000
2	69,2	30,8	308000
3	93,3	6,7	66800
4	99,4	0,6	6210
5	99,97	0,03	320
6	99,9997	0,0003	3,4

Source: Kurt Haubner, [www.sixsigma.de](http://www.sixsigma.de)

Normal distribution - Gauss!

$$f(x) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{x^2}{2\sigma^2}}$$



Source: Jeremy Kemp, adapted



CINCAP GmbH  
Switzerland

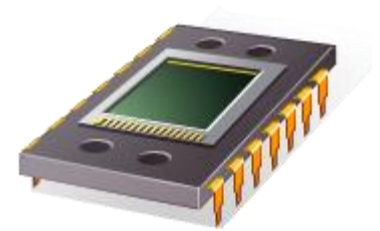


IFIP GmbH  
Switzerland

# The SIGMA Concept

## Champion: Chip industry

6 Sigma performance:  
amount of defective samples = 3.4 DPMO



Performance

**Pharmaceutical Industry ~ 2 Sigma**

i.e. > 20% defectives!



CINCAP GmbH  
Switzerland



IFIIP GmbH  
Switzerland

## Common approach to keep costs under control

### The 20% / 80% Rule:

With 20% of time and effort dedicated to a project  
80% of the goals can be achieved!

This rule has its great merits and allows to optimize efficiency!  
Is this approach adequate for an optimal Quality by Design?  
Can we afford a 6 Sigma Quality? What is the Quality in case of  
the 20%/80 % Rule?

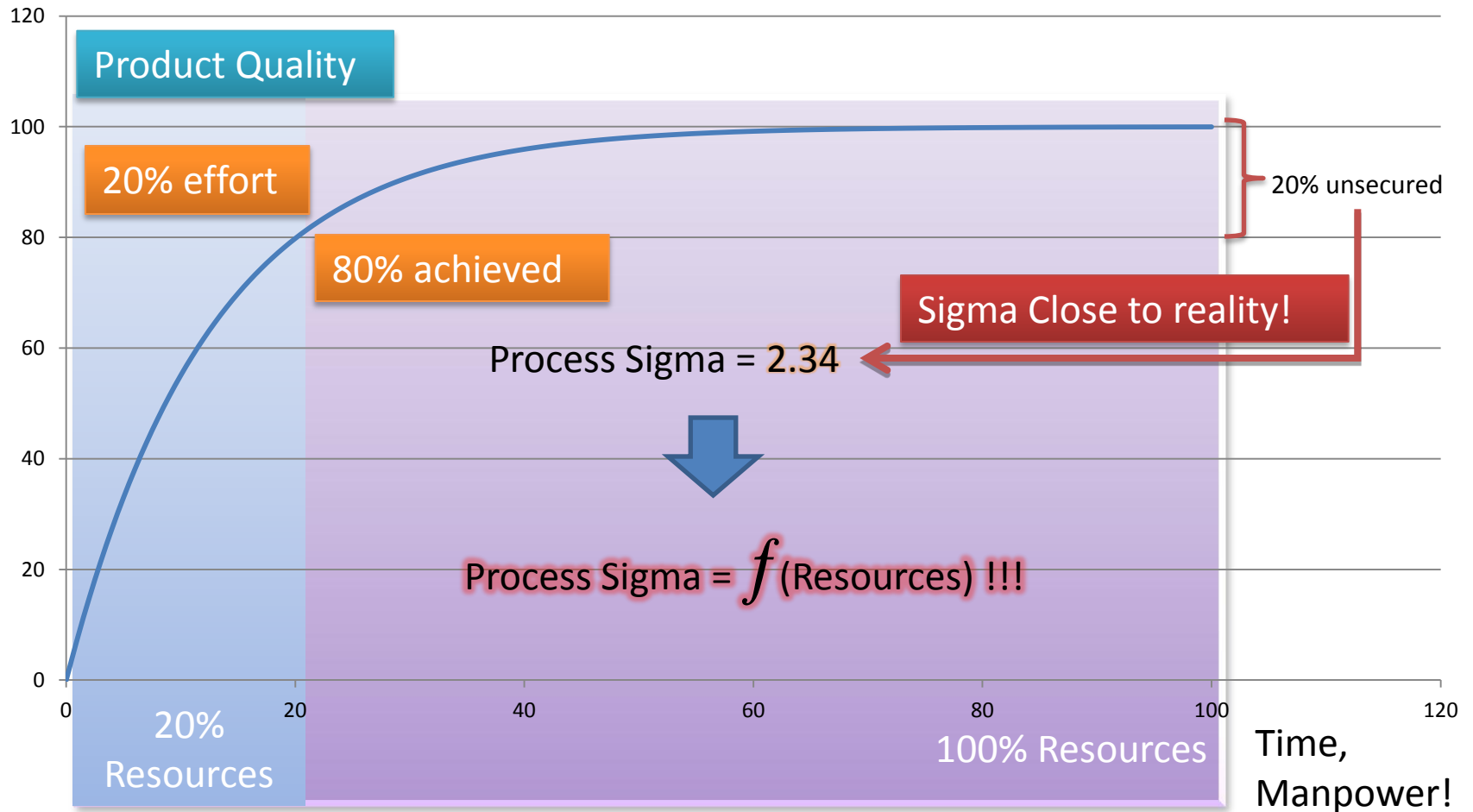
Let us make an estimate!





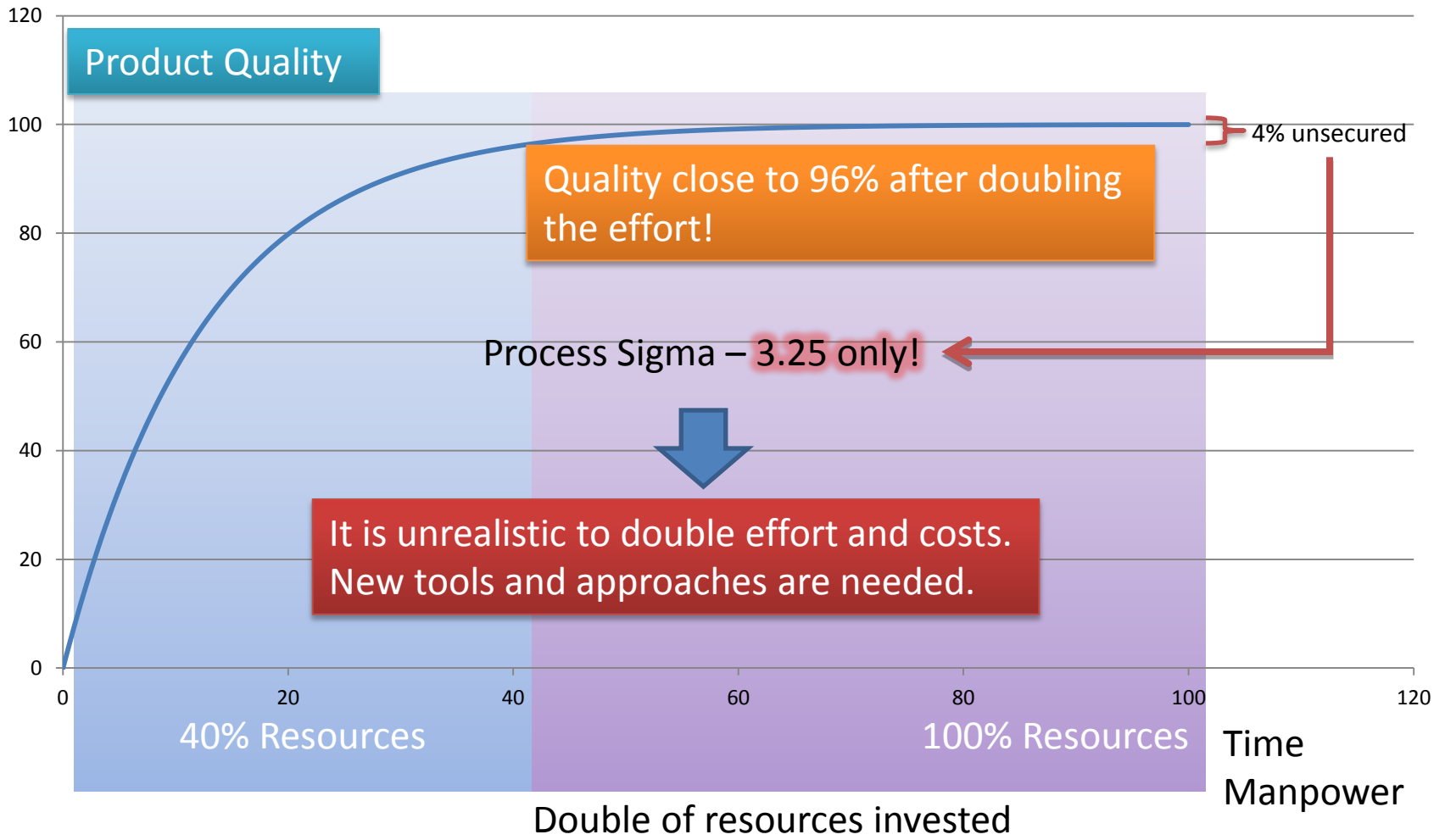


# Sigma Value – function of resources



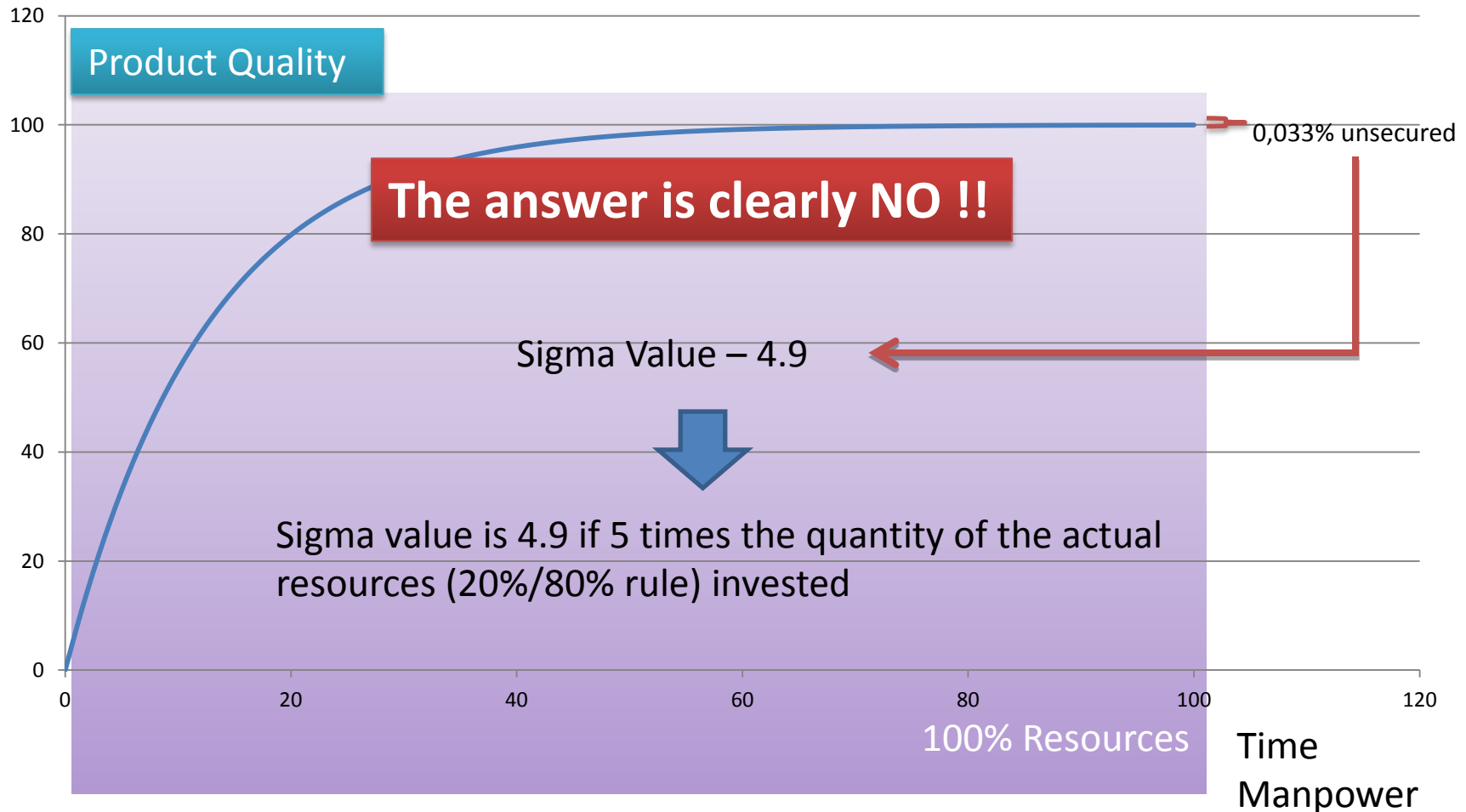


# Can we afford to double effort and costs?!





# Can Six Sigma be achieved with conventional tools?





CINCAP GmbH  
Switzerland



IFIIP GmbH  
Switzerland

## New tools and approaches are needed!

- » The Concept of F-CAD developed by CINCAP
- » F-CAD is different from any existing e-tool such as
  - Expert System
  - Artificial Neural Network
  - Collection of existing formulations etc
- » F-CAD is based on
  - Physical laws
  - Percolation Theory
  - Process Understanding
  - Particulate Formulation Design



CINCAP GmbH  
Switzerland



IFIIP GmbH  
Switzerland

## New tools and approaches are needed!

- » F-CAD takes into account
  - Microscopic structure (packing of particles)
  - Stochastic mixture of particles
  - physico-chemical properties of the drug substance(s)
  - biopharmaceutical properties of the drug substance(s)
  - physico-chemical properties of the excipients
  - unit operations ( pharmaceutical processes)



## New tools and approaches are needed!

- » **F-CAD can be compared to**
  - Concepts used in the aircraft-industry
  - In-silico design of aircrafts such as Boeing 777/ Airbus 380
  - e- Design of the first aircraft prototype is able to fly
  
- » **The goal of drug delivery systems are similar to the goal of aircrafts delivering passengers and goods**
  - at the right time
  - to the right site
  - with the highest possible safety
  - i.e. Keeping quality and quantity of the deliverables intact



CINCAP GmbH  
Switzerland



IFIP GmbH  
Switzerland

## Aircraft and drug formulation: similarities

- » Development and production of a **vehicle** that
  - » **delivers the drug substance**
    - precisely at the
    - in the
    - in the
    - to the
- right time**  
**right quality**  
**right quantity**  
**right site** in the body.



Basel BIOBREAKFAST: Leveraging the power  
of informatics (PriceWaterhouseCoopers)



CINCAP GmbH  
Switzerland



IFIIP GmbH  
Switzerland

## Designing aircraft: *in silico* approach



### Boeing 777: 100% digitally designed using 3D solids technology

- » The consequences were dramatic:
  - Elimination of > 3000 assembly interfaces, without any physical prototyping
  - 90% reduction in engineering change requests (6000 to 600)
  - **50% reduction in cycle time for engineering change request**
  - **90% reduction in material rework**
  - 50x improvement in assembly tolerances for fuselage.

How can we do that for pharma?

Source: <http://www.cds.caltech.edu/conferences/1997/vecs/tutorial/Examples/Cases/777.htm>





CINCAP GmbH  
Switzerland



IFIP GmbH  
Switzerland

## Goals of F-CAD

- » Superior quality of formulations than with existing approach
- » Possibility to quantify the robustness of the formulation
- » Possibility to define specifications based on science
- » Reduction of time to market
- » Boosting formulation and process technology understanding
- » Computer aided design of formulations similar to aircraft design
- » Savings comparable to the savings of the aircraft industry



CINCAP GmbH  
Switzerland



IFIIP GmbH  
Switzerland

## F – CAD is a tool to replace lab experiments

- » **Physical process** - a sustained phenomenon or one marked by gradual changes through a series of states
- » **Computation** is a process following a well-defined model that is understood and can be expressed in an algorithm, protocol, network topology, etc.
- » Physical process + Computation = **Result!**
- » **The F-CAD experiments are close to reality, but can be done with much lower costs and much much faster. Thus hundreds of formulations can be studied in a short time to find the best option!**



**For EVERY  
time-step!**



CINCAP GmbH  
Switzerland



IFIP GmbH  
Switzerland

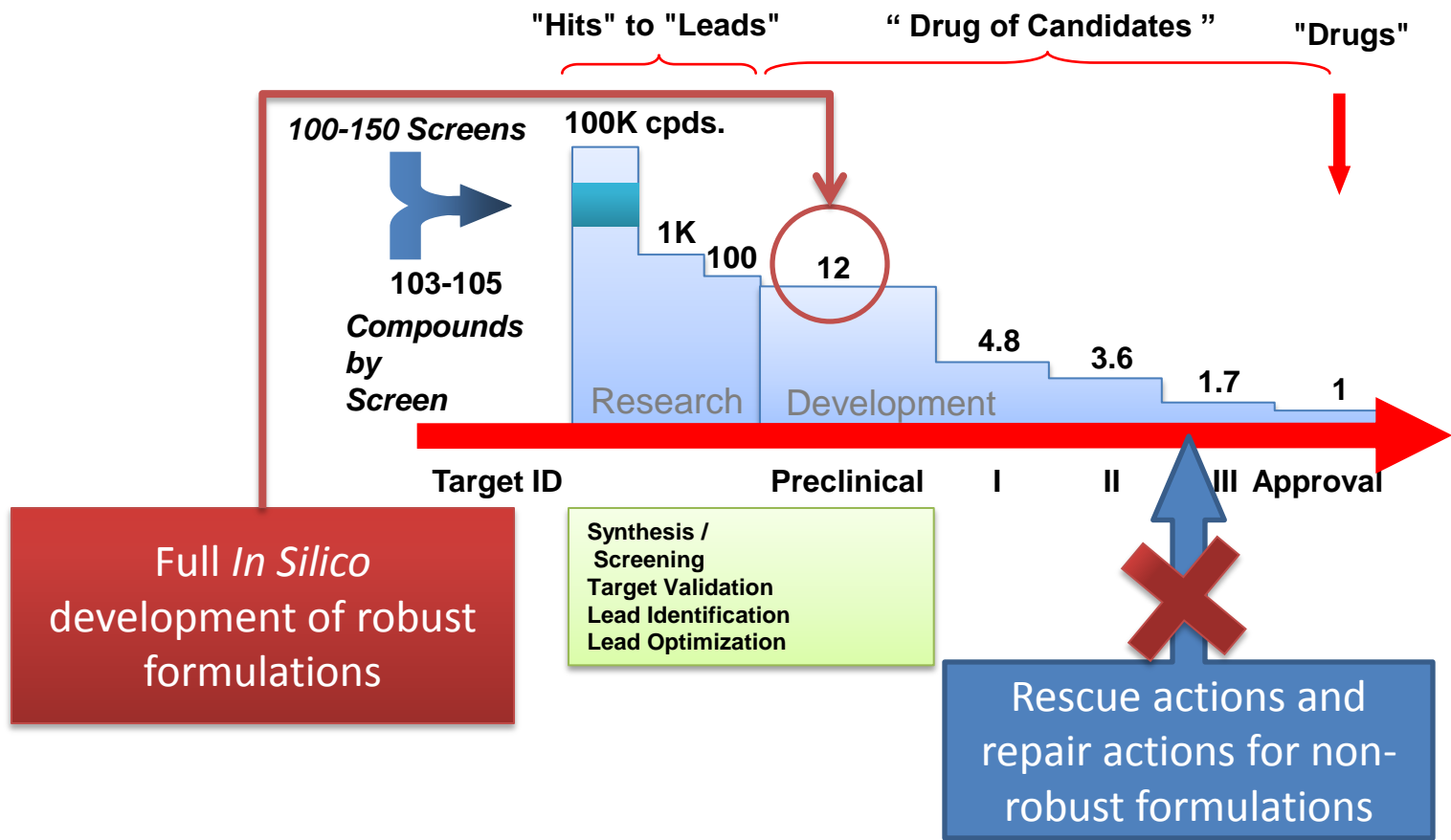
## F – CAD : examples of estimates for cost savings

- » **Example I: Feasibility study** – concerning the development of a generic formulation
- » **Costs of lab experiments** depend on the specific medicinal product to be copied or slightly modified such as an immediate release or sustained release formulation. Thus according to a rough estimate costs between **100 000 and 200 000 Euros** can be expected.
- » **Costs of in silico experiments: between 10 000 and 20 000 Euros, thus savings of up to 90%**

**Savings  
for each  
lab work  
possible !**



Slide: A. Hussain, FDA





CINCAP GmbH  
Switzerland



IFIIP GmbH  
Switzerland

## F – CAD : examples of estimates for cost savings

- » **Example II: High quality formulations “ready” for market already in the preclinical phase** – concerning the development of a new medicinal product or formulation
- » **Costs of lab experiments** to develop a first workable formulation based on existing know-how and knowledge with a small amount of the new, at this stage extremely expensive drug substance: **100 000 and 200 000 Euros**, neglecting costs of the drug substance (conservative estimate).
- » **Costs for 12 drugs** in the pipe-line **with 2 strengths** of API: between **2 400 000 and 4 800 000 Euros**, neglecting costs for the API at this early stage!
- » **Costs of in silico experiments:** between **240 000 and 480 000 Euros, thus savings of up to 90%!**



CINCAP GmbH  
Switzerland



IFIP GmbH  
Switzerland

## F – CAD applications for

- » Marketing
  - Shape, colour, size design
- » R&D Support
  - In-silico robust formulation design
- » Manufacturing Support
  - In-silico Scale-up and Launch Support
- » Finance
  - Cost assessment
- » Risk management
  - Risk assessment and mitigation
- » **Substantial costs savings**



**For mile  
stone  
decisions!**



CINCAP GmbH  
Switzerland



IFIIP GmbH  
Switzerland

**Thank you for your attention!**

Audience Q&A

---